


CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Alexandria, Virginia on September 10, 2004.


Janice Rosier/af

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hans-Georg FRÖHLICH et al.

Serial No.: 10/811,509

Filing Date: March 29, 2004

For: METHOD FOR FABRICATING A
CONTACT HOLE PLANE IN A MEMORY
MODULE

Examiner: Not Yet Assigned

Group Art Unit: 1756

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copy of the documents is also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is being submitted within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

U.S. Application 10/811,509
Docket No. 543822004300

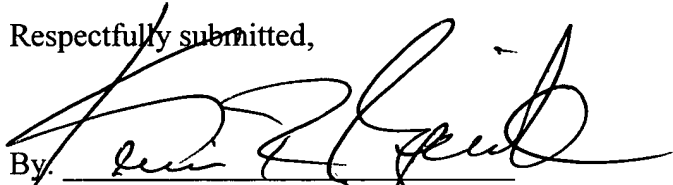
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **543822004300**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 10, 2004

Respectfully submitted,

By. 

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Form PTO-1449

Docket Number: 543822004300

Application Number: 10/811,509

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Applicant

Hans-Georg FRÖHLICH et al.

Filing Date: March 29, 2004

Group Art Unit: Not Yet Assigned

Mailing Date: September 10, 2004

U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|----------------------|-------------|------------|-----------------|-------------|-------|----------|-------------------------------|
| | 1. | 01/09/2003 | 2003/0008453 A1 | Kang et al. | | | 04/01/2002 |
| | 2. | 09/11/2001 | 6,287,905 B2 | Kim et al. | | | 12/22/2000 |
| | 3. | 08/01/2000 | 6,096,633 | Hsu | | | 10/28/1998 |
| | 4. | 01/09/2001 | 6,171,954 B1 | Hsu | | | 10/01/1998 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|----------------------|-------------|------------|---------------|---------|-------|----------|-----------------------|
| | 4. | 02/08/2001 | 01-09948 A1 | WIPO | | | x Abstract |
| | 5. | 02/01/2001 | 199 35 852 A1 | Germany | | | x |
| | 6. | 12/12/2002 | 102 23 748 A1 | Germany | | | x |

OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title |
|----------------------|-------------|-------|
| | 7 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.